PATENT COOPERATION TREATY





From the

INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

To:

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FEB 8 2001

FEB 0 6 2001

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PATENT RECORDS

NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL PRELIMINARY EXAMINATION REPORT (PCT Rule 71.1)

Date of mailing

(day/month/year)

29.01.2001

Applicant's or agent's file reference

FL1066PCT

IMPORTANT NOTIFICATION

International application No. PCT/US00/07520

International filing date (day/month/year) 22/03/2000

Priority date (day/month/year)

22/03/1999

Applicant

E.I. DU PONT DE NEMOURS AND COMPANY et al.

- 1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
- 2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
- 3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

4. REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA/

European Patent Office D-80298 Munich

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PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference		FOR FURTHER ACTION	See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)		
FL1066P	CT	TOTT OF THE POST OF	Freimmary Examination Report (Form FC1/1FE/A416)		
International application No.		International filing date (day/mor			
PCT/US00/07520		22/03/2000	22/03/1999		
Internationa C09K5/04	•	c) or national classification and IPC			
Applicant E.I. DU P	ONT DE NEMOURS	AND COMPANY et al.			
		examination report has been preparicant according to Article 36.	ed by this International Preliminary Examining Authority		
2. This F	REPORT consists of a to	otal of 5 sheets, including this cover	sheet.		
be	een amended and are t		the description, claims and/or drawings which have containing rectifications made before this Authority ctions under the PCT).		
These	annexes consist of a t	otal of sheets.			
3. This re	_	ns relating to the following items:			
1	☑ Basis of the repo ☐	rt			
II	☐ Priority				
III	_		inventive step and industrial applicability		
V			o novelty, inventive step or industrial applicability;		
VI	☐ Certain docume	· -			
VII	☐ Certain defects in	n the international application			
VIII	☑ Certain observat	ions on the international application			
Date of sub	mission of the demand	Date	of completion of this report		
29/09/200	00	29.01	29.01.2001		
Name and mailing address of the international preliminary examining authority:			prized officer		
<u>)</u>	European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx:	olde	Scheper, B		

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INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/US00/07520

l.	Basis	of the	re	port
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1.	res _i the	his report has been drawn on the basis of (substitute sheets which have been furnished to the receiving Office in esponse to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to be report since they do not contain amendments (Rules 70.16 and 70.17).): escription, pages:						
	1-3	5	as originally filed					
	Cla	Claims, No.:						
	1-5		as originally filed					
la		With regard to the language , all the elements marked above were available or furnished to this Authority in the anguage in which the international application was filed, unless otherwise indicated under this item.						
	The	These elements were available or furnished to this Authority in the following language: , which is:						
		the language of a t	ranslation furnished for the purposes of the international search (under Rule 23.1(b)).					
		the language of pu	blication of the international application (under Rule 48.3(b)).					
		the language of a translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).						
	With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:							
		contained in the int	ernational application in written form.					
		filed together with t	he international application in computer readable form.					
		furnished subsequently to this Authority in written form.						
		furnished subsequently to this Authority in computer readable form.						
		The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.						
		The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.						
4.	. The amendments have resulted in the cancellation of:		resulted in the cancellation of:					
		the description,	pages:					
		the claims,	Nos.:					
		the drawings,	sheets:					
5.		This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):						

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/US00/07520

(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)

- 6. Additional observations, if necessary:
- V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- 1. Statement

Novelty (N)

Yes:

Claims 1-5

No:

Claims

Inventive step (IS)

Yes:

Claims 1-5 Claims

Industrial applicability (IA)

Yes:

No:

Claims 1-5

No: Claims

2. Citations and explanations see separate sheet

VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made: see separate sheet

International application No. PCT/US00/07520

Re Item V

Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

Reference is made to the following document/s/:

- D1: US-A-5 196 137 (MERCHANT ABID N) 23 March 1993 (1993-03-23) cited in the application
- D2: WO 97 41189 A (DU PONT) 6 November 1997 (1997-11-06)
- D3: WO 99 02616 A (DEGROOT RICHARD J ;GREAT LAKES CHEMICAL CORP (US)) 21 January 1999 (1999-01-21) cited in the application
- D4: EP-A-0 894 851 (ATOCHEM ELF SA) 3 February 1999 (1999-02-03)
- D5: US-A-5 478 492 (BARTHELEMY PIERRE ET AL) 26 December 1995 (1995-12-26) cited in the application
- D6: EP-A-0 856 578 (ATOCHEM ELF SA) 5 August 1998 (1998-08-05)
- □ D7: EP-A-0 851 016 (AEROSPATIALE ;ATOCHEM ELF SA (FR)) 1 July 1998 (1998-07-01)
 - D8: DATABASE WPI Section Ch, Week 199350 Derwent Publications Ltd., London, GB; Class E16, AN 1993-400552 XP002141722 & JP 05 302098 A (ASAHI GLASS CO LTD), 16 November 1993 (1993-11-16) cited in the application
- The present application relates to an azeotrope-like 1,1,1,3,3-pentafluorobutane 1. (HFC-365mfc) containing compositions (claims 1-2), a process for cleaning a surface (claim 3), a process for producing refrigeration (claim 4), and a process for producing heat (claim 5).
- 2. Prior art.
- The documents D1-D3, and D6 disclose azeotropic/azeotropic-like mixtures 2.1 comprising 1,1,1,2,3,4,4,5,5,5-decafluoropentane (HFC-43-10mee).
 - These documents do neither cite 1,1,1,3,3-pentafluorobutane (HFC-365mfc), nor nonafluoromethoxybutane.

- 2.2 D4, D5 and D8 disclose azeotropic-like or solvent mixtures comprising 1,1,1,3,3-pentafluorobutane (HFC-365mfc).
 - These documents do neither cite 1,1,1,2,3,4,4,5,5,5-decafluoropentane (HFC-43-10mee), nor nonafluoromethoxybutane.
- 2.3 D7 discloses water-repellent compositions comprising polysiloxanes and a fluoro containing compound. Said compound may be 1,1,1,3,3-pentafluorobutane (HFC-365mfc), 1,1,1,2,3,4,4,5,5,5-decafluoropentane (HFC-43-10mee), or nonafluoromethoxybutane (claim 1, Table 1). Although it is indicated that mixtures of the fluoro-containing compound may be used, no examples are given. The application is silent with respect to any possible use in azeotropic-like compositions.
- 3. None of the available prior art documents disclose the subject-matter of claims 1-5 on file (Art. 33(2) PCT).
- 4. Since none of the prior art documents neither suggest, nor provide the skilled person with an incentive to obtain the azeotropic-like compositions as presently claimed, the subject-matter of the claims on file is considered to be not obvious in accordance with Art. 33(3) PCT.
- 5. The present application satisfies the criterion set forth in Article 33 (4) PCT because the subject matter of Claims 1-5 is industrially applicable.

Re Item VIII

Certain observations on the international application

The definitions of azeotropic-like described on page 12, lines 24-32 do not fall
within the scope of the claims. The claims define clearly the definition of
azeotrope-like, which is also expressed on page 12, line 33 to page 13, line 3).
 This inconsistency between the claims and the description leads to doubt concerning the matter for which protection is sought, thereby rendering the claims unclear (Article 6 PCT).